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Information technology — Common Biometric Exchange Formats Framework —

Part 3: Patron format specifications

Technologies de l'information — Cadre de formats d'échange biométriques communs —

Partie 3: Spécifications de format d'usager



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Contents

Fore	word		viii	
Intro	oductio	n	ix	
1	Scon	e	1	
2	-	Normative references		
3		ns and definitions		
4	Symbols and abbreviated terms			
5	Conformance			
6		1 type definitions for CBEFF data elements and abstract values		
	6.1 6.2	General CBEFF data elements type definitions module	3	
7	Patr 7.1	on format specification: Simple bit-oriented patron format (deprecated)	8 Q	
	7.1	Patron format owner		
	7.3	Patron format name		
	7.4	Patron format type		
	7.5	ASN.1 OID for this patron format		
	7.6	Domain of use		
	7.7	Version identifier		
	7.8	CBEFF version		
	7.9	General		
	7.10	ASN.1 Specification	9	
8	Patr	on format specification: Simple byte-oriented patron format		
	8.1	Patron		
	8.2	Patron format owner		
	8.3	Patron format name		
	8.4	Patron format type		
	8.5	ASN.1 OID for this patron format		
	8.6 8.7	Domain of use		
	8.8	CBEFF version		
	8.9	General		
	8.10	Patron format specification		
	8.11	ASN.1 Specification		
9	Patr	on format specification: Presence byte-oriented patron format		
,	9.1	Patron		
	9.2	Patron format owner		
	9.3	Patron format name		
	9.4	Patron format type		
	9.5	ASN.1 OID for this patron format		
	9.6	Domain of use		
	9.7	Version identifier		
	9.8	CBEFF version		
	9.9	General		
	9.10 9.11	Specification ASN.1 Specification		
10				
10	Patr 10.1	on format specification: Presence bit-oriented patron format		
	10.1	Patron		
	10.2	Patron format name		
	10.3	Patron format type		
	10.5	ASN.1 OID for this patron format		

	10.6	Domain of use			
	10.7	Version identifier			
	10.8	CBEFF version			
	10.0	General			
		ASN.1 Specification			
		•	10		
11		Patron format specification: TLV-encoded patron format, for use with smartcards or			
	other	tokens (with implicit tag allocation authority) - deprecated	17		
	11.1	Patron	17		
	11.2	Patron format owner			
	11.3	Patron format name			
	11.4	Patron format type			
	11.5	ASN.1 OID for this patron format			
	11.6	Domain of Use			
	11.7	Version identifier			
	11.8	CBEFF version			
	11.0	General			
		ASN.1 specification			
		Tabular representations			
	11.11				
		11.11.1 The Biometric Information Template used for on-card biometric comparison			
	11 10	11.11.2 The Biometric Information Template used for off-card biometric comparison			
		The Biometric Information Template Group Data Object			
		Abstract values and encodings for biometric type and subtype			
	11.14	Patron format conformance statement			
		11.14.1 Identifying information			
		11.14.2 CBEFF-defined data elements and abstract values			
		11.14.3 Patron-defined data elements and abstract values	27		
12	Datro	n format specification: Complex patron format	27		
14	12.1	In for mat specification: complex pair on for mat			
		Patron			
	12.2	Patron format owner			
	12.3	Patron format name			
	12.4	Patron format type			
	12.5	ASN.1 OID for this patron format			
	12.6	Domain of use			
	12.7	Version identifier			
	12.8	CBEFF version			
	12.9	General			
	12.10	Specification			
	12.11	Illustrative examples	32		
	12.12	ASN.1 definition (provided for illustrative purposes only)	35		
10					
13		n format specification: XML-full patron format (with additional data elements)			
	13.1	Patron			
	13.2	Patron format owner			
	13.3	Patron format name			
	13.4	Patron format type			
	13.5	ASN.1 OID for this patron format			
	13.6	Domain of use			
	13.7	Version identifier			
	13.8	CBEFF version	37		
	13.9	General			
	13.10	Specification			
		Element <bir></bir>			
		13.11.1 Syntax			
		13.11.2 Semantics			
	13.12	Element <version></version>			
		13.12.1 Syntax			
		13.12.2 Semantics			
	13.13	Element <cbeffversion></cbeffversion>			
	10.10	21011011 02 21 1 1 01 01 01 01 01 01 01 01 01 01 01 0			

	13.13.1 Syntax	40
	13.13.2 Semantics	
13.	14 Element <birinfo></birinfo>	
_	13.14.1 Syntax	
	13.14.2 Semantics	
13	15 Element <bdbinfo></bdbinfo>	
15.	13.15.1 Syntax	
	13.15.2 Semantics	
10		
13.	16 Element <format> of BDBInfoType</format>	
	13.16.1 Syntax	
4.0	13.16.2 Semantics	
13.	17 Element <product></product>	
	13.17.1 Syntax	
	13.17.2 Semantics	
13.	18 Element <capturedevice></capturedevice>	
	13.18.1 Syntax	
	13.18.2 Semantics	48
13.	19 Element <featureextractionalgorithm></featureextractionalgorithm>	48
	13.19.1 Syntax	48
	13.19.2 Semantics	
13.	20 Element <comparisonalgorithm></comparisonalgorithm>	
	13.20.1 Syntax	49
	13.20.2 Semantics	
13	21 Element <compressionalgorithm></compressionalgorithm>	
10.	13.21.1 Syntax	19 49
	13.21.2 Semantics	
12	22 Element <quality></quality>	
15.		
	13.22.1 Syntax	
10	13.22.2 Semantics	
13.	23 Element <algorithm></algorithm>	
	13.23.1 Syntax	
10	13.23.2 Semantics	
13.	24 Element <sbinfo></sbinfo>	
	13.24.1 Syntax	
	13.24.2 Semantics	
13.	25 Element <format> of SBInfoType</format>	51
	13.25.1 Syntax	51
	13.25.2 Semantics	
13.	26 Representation of Integers	51
13.	27 Representation of Octet Strings	51
13.	28 Representation of Date and Time of the Day	52
	29 Representation of Universally Unique Identifiers	
	30 XML schema of the patron format	
	31 An example of a simple BIR in XML encoding (conforming with the ASN.1 schema, the	
	XSD schema, and the normative textual description)	56
13	32 An example of a complex BIR in XML encoding (conforming with the ASN.1 schema, the	
10.	XSD schema, and the normative textual description)	57
Pa	tron format specification: full-complex patron format	60
14.		60
14.	2 Patron format owner	60
14.	3 Patron format name	60
14.	4 Patron format type	60
14.		
14.	•	
14.		
14.		
14.		
14.		
14.	io opecnication	

14

ISO/	IEC	1978	5-3:20)25(e	n)
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		Illustrative examples ASN.1 definition (provided for illustrative purposes only)	
15			
15		n format specification: Biometric application programming interface (BioAPI 2.0)	
16	Patro 16.1	n format specification: Self-identifying Tag-oriented Simple BIR	
	16.1	Patron format owner	
	16.2	Patron format name	
	16.3	Patron format type	
	16.5	ASN.1 OID for this patron format	
	16.6	Domain of use	
	16.7	Version identifier	
	16.8	CBEFF version	
	16.9	General	
	16.10	Specification	73
	16.11	Illustrative examples	
	16.12	ASN.1 definition	77
17	Patro	n format specification: Self-identifying, Tag-oriented Complex BIR	
	17.1	Patron	
	17.2	Patron format owner	81
	17.3	Patron format name	81
	17.4	Patron format type	81
	17.5	ASN.1 OID for this patron format	
	17.6	Domain of use	
	17.7	Version identifier	
	17.8	CBEFF version	
	17.9	General	
	17.10	Specification	
	17.11	Illustrative example	
	17.12	ASN.1 definition	
18		n format specification: PAD patron format (Linking BDB with PAD Sample)	
	18.1	Patron	
	18.2	Patron format owner	
	18.3	Patron format name	
	18.4	Patron format type	
	18.5	ASN.1 OID for this patron format	
	18.6	Domain of use	
	18.7	Version identifier	
	18.8	CBEFF version	
	18.9		
	18.10	Illustrative example	
		ASN.1 definition	
			90
19	Patro	n format specification: TLV-encoded patron format for ICCs and other tokens	02
		explicit tag allocation authority)	
	19.1	Patron	
	19.2 19.3	Patron format owner Patron format name	
	19.3 19.4	Patron format type	
	19.4 19.5	ASN.1 OID for this patron format	
	19.5	Domain of Use	
	19.7	Version identifier	
	19.7	CBEFF version	
	19.0	General	
	19.10	Tabular representations for information	
		19.10.1 The Biometric Information Template used for on-card biometric comparison	
		19.10.2 The Biometric Information Template used for off-card biometric comparison	

101
101
101
102
135
-

Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives or www.iso.org/directiv

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For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see <u>www.iso.org/iso/foreword.html</u>. In the IEC, see <u>www.iec.ch/understanding-standards</u>.

This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 37, *Biometrics*.

This fourth edition cancels and replaces the third edition (ISO/IEC 19785-3:2020), which has been technically revised.

The main changes are as follows:

- the patron format "TLV-encoded patron format, for use with smartcards or other tokens (with implicit tag allocation authority)" was deprecated for new applications;
- references previously listed as normative were moved to the bibliography, including ISO/IEC 19785-2, ISO/IEC 8825-1 and ISO/IEC 24787;
- element <sbX> is newly added to the XML-full patron format in <u>Clause 13</u>;
- vocabulary was updated and corrected;
- the ASN.1 definition for the patron format "TLV-encoded patron format for ICCs and other tokens (with explicit tag allocation authority)" and related information in <u>Clause 19</u> was moved to a new informative <u>Annex E</u>.

A list of all parts in the ISO/IEC 19785 series can be found on the ISO and IEC websites.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <u>www.iso.org/members.html</u> and <u>www.iec.ch/national-committees</u>.

Introduction

Biometric-based authentication systems and applications are expected to support multiple biometric devices and multiple biometric data formats. The Common Biometric Exchange Formats Framework (CBEFF) promotes interoperability of biometric-based application programmes and systems developed by different vendors by facilitating biometric data interchange.

ISO/IEC 19785-1 defines the following items that enable standardized biometric data interchange:

- a) a three-part standardized structure for biometric information records (BIRs) consisting of:
 - 1) standardized biometric headers (SBHs);
 - 2) biometric data blocks (BDBs, which can be standardized or proprietary); and
 - 3) optional security blocks (SBs);
- b) variations of the three-part structure to support BIRs containing:
 - 1) only one SBH, at least one or more BDBs and possibly one SB (simple CBEFF BIRs),
 - 2) only one self-identifying SBH, at least one or more BDB and possibly one SB (self-identifying simple CBEFF BIRs), and;
 - 3) more than one BDB along with some number of SBHs necessary to encode the BIR's structure and some number of SBs (complex CBEFF BIRs, multiple CBEFF BIRs);
- c) a self-identifying concept, which can be applied to any variation of the three-part structure for BIRs, using the 'SBIR' field as defined in ISO/IEC 19785-1;
- d) more than 40 data elements and their associated abstract values that can be used in an SBH to describe attributes of a BDB within a BIR, as well as attributes of the BIR itself;
- e) the concept of a CBEFF patron format (but ISO/IEC 19785-1 does not itself define any patron formats), which is a detailed specification of the structure and content of a particular, standardized BIR;
- f) the concept of a CBEFF patron, which is a recognized standards organization that has registered with the Biometric Registration Authority (BRA) and declared its intention to define CBEFF patron format specifications;
- g) the concept of the BRA, which is the mechanism by which unique identifiers are assigned to organizations (standards organizations, vendors and others) that create BDB formats and CBEFF patron formats (ISO/IEC 19785-2 defines the identification scheme for biometric objects and organizations registered by the BRA);
- h) CBEFF data elements [see c) above] that support, within the SBH, the unique identifiers assigned by the BRA for biometric organizations, BDB formats, biometric products, capture devices, feature extraction algorithms, comparison algorithms, quality algorithms, compression algorithms, PAD mechanisms, patron formats (self-identifying or not), and SB formats.

Patron formats can be specified in other standards documents and registered with the BRA (see ISO/IEC 19785-2). For example, there is a registered patron format specified in ISO/IEC 19784-1. For a complete list of registered patron formats, consult the CBEFF Biometric Registration Authority website.

This document specifies a number of CBEFF patron formats that are considered to be of general utility in a variety of domains of use. Additional ISO/IEC JTC 1/SC 37 patron format specifications can be published as new clauses in future amendments or editions of this document, or in other ISO/IEC JTC 1/SC 37 International Standards.

The CBEFF patron format type unambiguously identifies the CBEFF patron format within the scope of the CBEFF patron format owner. The CBEFF patron format type is unambiguous within the scope of an ASN.1 Object Identifier (see ISO/IEC 9834-1) that identifies the BRA (see ISO/IEC 19785-2). That ASN.1 Object

Identifier (OID) is itself globally unambiguous within the scope of all ASN.1 OIDs, which forms a widely used global namespace.

NOTE ASN.1 OIDs are used by ITU-T, ITU-R, the UPU and many ISO and IEC Standards, to identify some IETF MIME types and for many other purposes. These acronyms have not been spelled out, as the precise identification of these organizations is not relevant to this document.

The combination of the BRA OID, the CBEFF patron format owner, and the CBEFF patron format type forms a larger ASN.1 OID that provides an unambiguous identification of the CBEFF patron format. This document specifies, for each CBEFF patron format that it defines, the ASN.1 OID that unambiguously identifies that CBEFF patron format.

New implementations can make use of the tag-oriented CBEFF version 4.0 patron formats, i.e. <u>Clauses 16</u> and onwards.

It is also important to note that, for legacy reasons, the technical content of patron format type 5, TLVencoded patron format for use with smartcards or other tokens (with implicit tag allocation authority) in <u>Clause 11</u>, is presented as it was originally published in ISO/IEC 19785-3:2007 (with minor editorial updates and technical comments introduced in <u>Annex D</u>). New implementations can use the explicit tag allocation authority alternative provided by the patron format included in <u>Clause 19</u>.

Information technology — Common Biometric Exchange Formats Framework —

Part 3: Patron format specifications

1 Scope

This document specifies and publishes registered Common Biometric Exchange Formats Framework (CBEFF) patron formats defined by the CBEFF patron ISO/IEC JTC 1/SC 37, and specifies their registered CBEFF patron format types (see ISO/IEC 19785-1) and resulting full ASN.1 OIDs. See <u>Annex A</u> for rules on how patron formats are defined using CBEFF data elements.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 2382-37, Information technology — Vocabulary — Part 37: Biometrics

ISO/IEC 7816-11, Identification cards — Integrated circuit cards — Part 11: Personal verification through biometric methods

ISO 8601-1, Date and time — Representations for information interchange — Part 1: Basic rules

ISO/IEC 8825-4, Information technology — ASN.1 encoding rules — Part 4: XML Encoding Rules (XER)

ISO/IEC 10646, Information technology — Universal coded character set (UCS)

ISO/IEC 19785-1, Information technology — Common Biometric Exchange Formats Framework — Part 1: Data element specification

ISO/IEC 30107-2, Information technology — Biometric presentation attack detection — Part 2: Data formats